

### PADENT Application No. 10/678,026 TRANSMITTAL FORM Filing Date September 30, 2003 (to be used for all correspondence after initial filing) First Named Inventor Kjetil Johannessen Art Unit 1731 **Examiner Name** John M. Hoffmann Total Number of Pages in This Submission Attorney Docket Number 42P13378D (check all that apply) **ENCLOSURES** After Allowance Communication to Group Fee Transmittal Form Drawing(s) Appeal Communication to Board of Appeals and Interferences Fee Attached Licensing-related Papers Appeal Communication to Group Amendment / Response Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert a Provisional Application After Final Proprietary Information Affidavits/declaration(s) Power of Attorney, Revocation Change of Correspondence Address Status Letter Extension of Time Request Other Enclosure(s) Terminal Disclaimer (please identify below): **Express Abandonment Request** Request for Refund Return postcard Information Disclosure Statement PTO/SB/08 CD, Number of CD(s) Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Remarks Basic Filing Fee Declaration/POA Response to Missing Parts under 37 CFR 1.52 or 1.53

# SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Or Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Signature Date June 24, 2005

# CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or printed name	Katherine Jennings		
Signature	- Allamet Jennugo	Date	June 24, 2005

Based on PTO/SB/21 (04-04) as modified by Bakely, Solokoff Taylor & Zafman (wtr) 06/04/2004. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



# FE TRANSMITTAL

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)

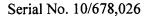
Complete if Known					
Application Number	10/678,026	-			
Filing Date	September 30, 2003				
First Named Inventor	Kjetil Johannessen				
Examiner Name	John M. Hoffmann				
Art Unit	1731				
Attorney Docket No.	42P13378D				

METHOD OF PAYMENT (check all that apply)									
Check	Credit ca	ard 🗌 M	oney O	rder None Other (please identify):					
Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP									
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)  Charge fee(s) indicated below  Charge any additional fee(s) or underpayment of fee(s)  Credit any overpayments  under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.									
FEE CALCULATION									
Large Entity Small Entity									
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid				
1051	130	2051	65	Surcharge - late filing fee or oath					
1052	50	2052		Surcharge - late provisional filing fee or cover sheet.					
2053	130	2053	130	Non-English specification					
1251	120	2251	60	Extension for reply within first month					
1252	450	2252	225	Extension for reply within second month					
1253	1,020	2253	510	Extension for reply within third month					
1254	1,590	2254	795	Extension for reply within fourth month					
1255	2,160	2255	1,080	Extension for reply within fifth month					
1401	500	2401	250	Notice of Appeal					
1402	500	2402	250	Filing a brief in support of an appeal					
1403	1,000	2403	500	Request for oral hearing					
1451	1,510	2451	1,510	Petition to institute a public use proceeding					
1460	130	2460	130	Petitions to the Commissioner					
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)					
1806	180	1806	180	Submission of Information Disclosure Stmt					
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))					
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))					
Other for	ee (spe	cify) _							
				SUBTOTAL (2) (\$)					

SUBMITTED BY			7/1	7)	/		Comp	lete (if applicable)
Name (Print/Type)	Gregory D. Caldwell				Registration No. (Attorney/Agent)	39,926	Telephone	(503) 439-8778
Signature	//		K		•		Date	06/24/05

Based on PTO/SB/17 (12-04) as modified by Blakely, Solokoff, Taylor 2 Zafman (wir) 12/15/2004. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandra, VA 22313-1450







## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of Kjetil Johannessen

Serial No. 10/678,026

Group Art Unit: 1731

Filed: September 30, 2003

Examiner: John M. Hoffmann

For:

METHOD OF SELF-ALIGNING

**OPTICAL WAVEGUIDES** 

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

# AMENDMENT UNDER 37 C.F.R. § 1.111

Sir:

In response to the Office Action mailed on March 24, 2005, please amend the above-identified application as follows: